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TRANSMITTAL FORM

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		Application Number	10/697,906
		Filing Date	October 29, 2003
		First Named Inventor	Albert K. Chin
		Group Art Unit Number	Not Yet Known
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	**7	Attorney Docket Number	23488-07961

ENCLOSURES (check all that apply)

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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:	<i>Albert C. Smith</i>	
Attorney/Reg. No.:	Albert C. Smith/Reg. No. 20,355	Dated: 1/29/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Albert C. Smith</i>	
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Express Mail Mailing Number (optional):		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Albert K. Chin et al.

APPLICATION NO.: 10/697,906

FILING DATE: October 29, 2003

TITLE: Apparatus And Method For Endoscopic Cardiac Mapping And Lead Placement

EXAMINER: Not Yet Known

GROUP ART UNIT: Not Yet Known

ATTY. DKT. NO.: 23488-07961



CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of non-patent references that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of

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information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR

after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ALBERT K. CHIN ET AL.

Dated: 1/29/04

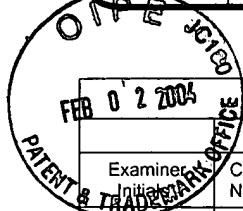
By: A. C. Smith
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known

Sheet	2	of	3	Attorney Docket Number	23488-07961
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U.S. PATENT DOCUMENTS

		Document No.		
Examiner Initials*	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A26	US-6,162,195	12-19-2000	Igo et al.
	A27	US-6,322,536	11-27-2001	Rosengart et al.
	A28	US-6,478,028	11-12-2002	Paolitto et al.
	A29	US-702,789	06-17-1902	Gibson

FOREIGN PATENT DOCUMENTS

		Foreign Patent Document			
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	B1	EP 0095727A1	12-07-1983	Purdue Research Foundation	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C1	P.J. de Feyter et al., "Permanent Cardiac Pacing with Sutureless Myocardial Electrodes: Experience in First One Hundred Patients," <i>PACE</i> , Vol 3, No. 2, March 1980, pp. 144-149.	
	C2	S. Stewart, M.D., "Placement of the Sutureless Epicardial Pacemaker Lead by the Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 18, No. 3, September 1974, pp. 308-313.	
	C3	L. Watkins, Jr., M.D. et al., "Implantation of the Automatic Defibrillator: The Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 34, No. 5, November 1982, pp. 515-520	
	C4	R. Broadman et al., "ICD Implantation via Thoracoscopy, "Mailslot" Thoracotomy and Subxiphoid Incision," <i>The Annals of Thoracic Surgery</i> , Vol. 57, No. 2, February 1994, pp. 475-476.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

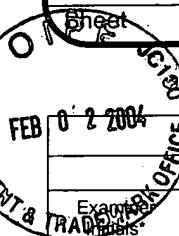
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Complete if Known

Application No.	10/697,906
Filing Date	October 29, 2003
First Named Inventor	Albert K. Chin
Art Unit	Not Yet Known
Examiner Name	Not Yet Known

Attorney Docket Number 23488-07961



Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner TRADEMARK Numbers*	Cite No. ¹	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-3,870,048	03-11-1975	Yoon
	A2	US-4,181,123	01-01-1980	Crosby
	A3	US-4,291,707	09-29-1981	Heilman et al.
	A4	US-4,319,562	03-16-1982	Crosby
	A5	US-4,765,341	08-23-1988	Mower et al.
	A6	US-4,991,578	02-12-1991	Cohen
	A7	US-4,991,578	01-12-1991	Cohen
	A8	US-5,033,477	07-23-1991	Chin et al.
	A9	US-5,033,477	07-23-1991	Chin et al.
	A10	US-5,071,428	12-10-1991	Chin et al.
	A11	US-5,129,394	07-14-1992	Mehra
	A12	US-5,246,014	09-21-1993	Williams et al.
	A13	US-5,336,252	08-09-1994	Cohen
	A14	US-5,336,252	08-09-1994	Cohen
	A15	US-5,385,156	01-31-1995	Oliva
	A16	US-5,464,447	11-07-1995	Fogarty et al.
	A17	US-5,618,287	04-08-1997	Fogarty et al.
	A18	US-5,690,648	11-25-1997	Fogarty et al.
	A19	US-5,716,392	02-10-1998	Bourgeois et al.
	A20	US-5,728,148	03-17-1998	Bostrom et al.
	A21	US-5,755,764	05-26-1998	Schroepel
	A22	US-5,827,216	10-27-1998	Igo et al.
	A23	US-5,897,586	04-27-1999	Molina
	A24	US-5,972,013	10-26-1999	Schmidt
	A25	US-6,102,046	08-15-2000	Weinstein et al.

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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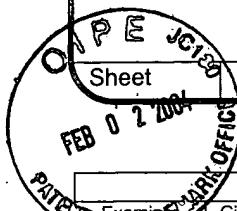
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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Application No.	10/697,906
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Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	23488-07961



OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

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23488/07961/DOCS/1400633.1